

Attorney Docket No. CF/072

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant : Timothy H. Heaton
Application No.: Not Yet Known
Filed : Herewith
For : GRAPHICAL USER INTERFACE RELATED TO NON-
STANDARD TRADING OF FINANCIAL INSTRUMENTS

New York, New York
September 11, 2003

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Sir:

Preliminary to the examination, applicant hereby amends
the above-identified application as follows:

Amendments to the Claims is reflected in the
listing of claims which begins on page 2 of this paper.

Remarks begin on page 7 of this paper.